

## Nonlinear Feedback Control

Consider a plant system:  $\dot{x} = f(x, u, t)$

Stabilization problem: Design a feedback control such that the system is stabilized at  $x = x_{ss}$ .

We can generally assume that  $x_{ss} = 0$ , through coordinate change.

Types of stabilization:

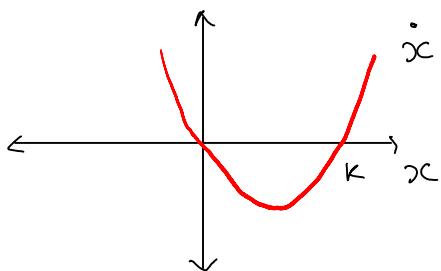
- Local: unknown region of attraction
- Regional: region of attraction is guaranteed to include a compact set  $G$
- Semiglobal: feedback control can be designed such that the r.o.a includes any compact set.
- Global

Example:  $\dot{x} = x^2 + u$

Suppose that  $u = -Kx$ , thus  $\dot{x} = x^2 - Kx$ ,  $K > 0$

Through linearization at the origin, we know that the origin is locally asympt. stable.

Plot  $\dot{x}$  vs  $x$ :



After further analysis, we know that the region of attraction is  $\{x < K\}$ , thus we can achieve regional stabilization. Further, since  $K$  can be chosen arbitrarily, the linear feedback control achieves semiglobal stabilization.

A different feedback control scheme:  $u = -x^2 - Kx$  results in

$$\dot{x} = -Kx$$

and thus achieves global stabilization.

## Stabilization by linearization

Consider the plant:  $\dot{x} = f(x, u)$ , where  $f(0, 0) = 0$ ,  $f$  is cont. diff.  
 $x \in \mathbb{R}^n$ ,  $u \in \mathbb{R}^p$

Define:  $A \triangleq \left. \frac{\partial f}{\partial x} \right|_{x=0, u=0}$ ,  $B \triangleq \left. \frac{\partial f}{\partial u} \right|_{x=0, u=0}$

If we introduce a linear feedback:  $u = -Kx$ , the closed loop system becomes  
 $\dot{x} = f(x, -Kx) \triangleq \tilde{f}(x)$

Notice that  $\tilde{f}(0) = 0$ , and  $\left. \frac{\partial \tilde{f}}{\partial x} \right|_{x=0} = \frac{\partial f}{\partial x} - \frac{\partial f}{\partial u} \cdot K$ , thus  
 $\left. \frac{\partial \tilde{f}}{\partial x} \right|_{x=0} = A - BK$

Thus, if  $K$  is chosen such that  $(A - BK)$  is Hurwitz, then we achieve local asympt. stability.

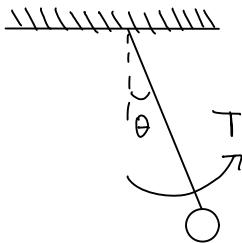
Lemma: Such  $K$  exists iff the pair  $(A, B)$  is stabilizable

Hautus test:  $(A, B)$  is stabilizable if the polynomial matrix  $[sI - A : B]$  has rank  $n$  for all  $\operatorname{Re} s \geq 0$

Lemma: If  $(A, B)$  is controllable then a stabilizing feedback  $K$  exists.

Example: Pendulum system:  $\ddot{\theta} = -a \sin \theta - b \dot{\theta} + cT$ ,  $a, b, c > 0$

Suppose that we want to stabilize the pendulum at  $\theta = \delta$



Define the necessary constant torque to maintain  $\theta = \delta$  as  $T_{ss}$ . We have  $T_{ss} = \frac{a}{c} \sin \delta$

Define:  $x_1 = \theta - \delta$

$x_2 = \dot{x}_1$

$u = T - T_{ss}$

Then:  $\dot{x}_1 = x_2$

$\dot{x}_2 = -a \sin(x_1 + \delta) - b x_2 + c(u + \frac{a}{c} \sin \delta)$

$\left. \begin{array}{l} \\ \end{array} \right\} = f(x, u)$

$$A = \left. \frac{\partial f}{\partial x} \right|_{x=0, v=0} = \begin{pmatrix} 0 & 1 \\ -a \cos \delta & -b \end{pmatrix}; B = \left. \frac{\partial f}{\partial u} \right|_{x=0, v=0} = \begin{pmatrix} 0 \\ c \end{pmatrix}$$

Kalman rank test:  $[B : AB] = \begin{bmatrix} 0 & c \\ c & -bc \end{bmatrix}$  is fullrank if  $c \neq 0$ . Thus the linearization is controllable. Suppose that the linear feedback is:

$$u = -K_1 x_1 - K_2 x_2, \text{ then } A - BK = \begin{pmatrix} 0 & 1 \\ -a \cos \delta - ck_1 & -b - ck_2 \end{pmatrix} \rightarrow \text{char. polynomial: } \lambda(\lambda + b + ck_2) + a \cos \delta + ck_1$$

The closed loop system is Hurwitz if  $b + ck_2 > 0$

$$a \cos \delta + ck_1 > 0$$

### Output feedback

Given the plant:  $\dot{x} = f(x, v)$ ,  $y = h(x) : h(0) = 0$

Suppose that we want to achieve stabilization through output feedback.

$$\text{Define: } C \triangleq \left. \frac{\partial h}{\partial x} \right|_{x=0}$$

Through linearization, we obtain (locally):  $\dot{x} = Ax + Bu$   
 $y = cx$

If  $(A, C)$  is detectable, we can design an observer:

$$\dot{\hat{x}} = A\hat{x} + Bu + F(y - c\hat{x}), \text{ such that}$$

$$\begin{aligned} \dot{x} - \dot{\hat{x}} &= A(x - \hat{x}) - FC(x - \hat{x}) \\ &= (A - FC)(x - \hat{x}), \text{ with } (A - FC) \text{ Hurwitz} \\ \dot{e} &= (A - FC)e \end{aligned}$$

The controller  $u = -K\hat{x}$

Thus the closed loop system is:  $\dot{x} = Ax - BK\hat{x} = (A - BK)x + BKe$   
 $\dot{e} = (A - FC)e$

$$\begin{pmatrix} \dot{x} \\ \dot{e} \end{pmatrix} = \begin{pmatrix} A - BK & BK \\ 0 & A - FC \end{pmatrix}. \text{ Notice that the controller is } \underline{\text{dynamic.}}$$

## Integral Control

When the parameters are not exactly known, proportional feedback can result in steady state error.

Consider the plant :  $\dot{x}^c = f(x, u, w)$ ,  $x \in \mathbb{R}^n$ ,  $u \in \mathbb{R}^p$

$$y = h(x, w), y \in \mathbb{R}^p$$

$$y_m = h_m(x, w), y_m \in \mathbb{R}^m$$

$y_m$  is the measured outputs, and  $y$  is a subset of  $y_m$ .  $w$  is a set of unknown parameters.

We want to design a feedback control such that  $\lim_{t \rightarrow \infty} y(t) = r$ , for some  $r \in \mathbb{R}^p$

Assume that for each parameter  $w$  and reference  $r$ , there exist  $u_{ss}$  and  $x_{ss}$  such that uniquely :

$$f(x_{ss}, u_{ss}, w) = 0$$

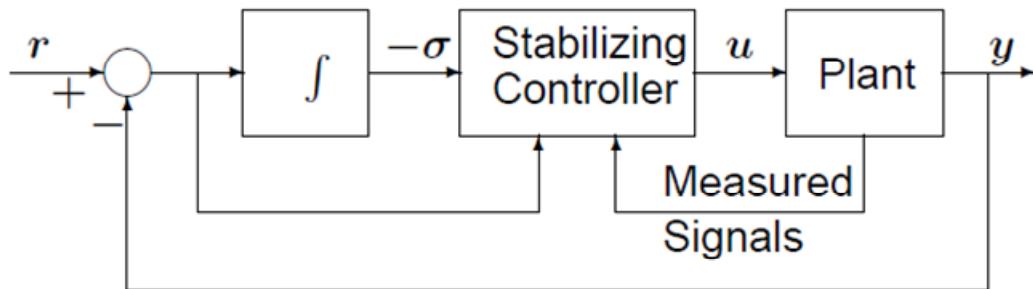
$$h(x_{ss}, w) = r$$

Idea: introduce integral action to drive the tracking error

$$e \stackrel{\Delta}{=} y - r$$

asymp. to zero, i.e.  $\dot{e} = e$ . Thus the controller to be designed is :

$$u = \gamma(y_m, e, \sigma)$$



## Full state Feedback ( $y_m = x$ )

The controller:  $u = -K_1 x - K_2 \tau - K_3 e$

The closed loop system:

$$\begin{aligned}\dot{x} &= f(x, -K_1 x - K_2 \tau - K_3(h(x, w) - r), w) \\ \dot{\tau} &= h(x, w) - r\end{aligned}$$

At equilibrium:  $(x_{eq}, \tau_{eq})$  we have:

$$\begin{aligned}f(x_{eq}, -K_1 x_{eq} - K_2 \tau_{eq}, w) &= 0 \\ h(x_{eq}, w) - r &= 0\end{aligned}$$

By assumption:  $x_{eq} = x_{ss}$

$$u_{eq} = -K_1 x_{eq} - K_2 \tau_{eq} = u_{ss}$$

$\tau_{eq}$  is unique if  $K_2$  is nonsingular

Task: Stabilize the system around  $(x_{eq}, \tau_{eq})$